

S/N Unknown

PATENT #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	YAMASAKI et al.	Examiner:	Unknown
Serial No.:	Unknown	Group Art Unit:	Unknown
Filed:	April 5, 2005	Docket No.:	10873.1668USWO
Title:	OPTICAL HEAD AND OPTICAL DISK DEVICE		

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 495870408 US
Date of Deposit: April 5, 2005

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop PCT, Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450.

By: _____

Name: David Ortiz

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Commissioner:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. At least some of the references were recently cited in an International Search Report mailed March 9, 2004.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of any foreign patent document or "Other Document" listed on the Form 1449 is enclosed, in accordance with 37 C.F.R. § 1.98(a)(2). Because this application was filed after June 30, 2003, copies of the U.S. Patents and U.S. patent publications listed on the enclosed Form 1449 are not provided. Enclosed for the Examiner's information is a copy of the International Search Report.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. §1.98(a)(3)):

Japanese Patent No. 8-212611 corresponds with US Patent No. 5,793,735.

Japanese Patent No. 2000-171346 corresponds with US Patent No. 6,498,330.

WO 02/01554 (described as "PCT/JP01/05366" in the specification) corresponds with US Patent Publication No. 2004/0062158.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the references are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

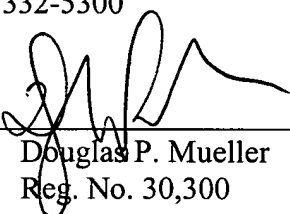
Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,



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Dated: April 5, 2005

By: 
Douglas P. Mueller
Reg. No. 30,300

DPM/sbd

Date Mailed: April 5, 2005

Sheet 1 of 1

FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 10873.1668USWO	Application Number: Unknown
	Applicant: YAMASAKI et al.	
	Filing Date: April 5, 2005	Group Art Unit: Unknown

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,793,735	08/1998	Oono, Masahiro			
	6,185,167	02/2001	Arai et al.			
	6,430,137	08/2002	Saimi et al.			
	2002/0176331	11/2002	Ploeger, Randall J.			
	6,498,330	12/2002	Yoshida, Shinya			
	2002/0056802	04/2004	Lohmeyer et al.			
	2002/0018406	08/2002	Yamada, Eiji			

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	8-212611	08/1996	Japan			See IDS	
	2000-171346	06/2000	Japan			See IDS	
	02/01554	01/2002	WIPO			Abstract/ See IDS	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		

23552

PATENT TRADEMARK OFFICE

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	